



Commercial Account

In order to provide you a single source in applying for credit at Caterpillar Financial Services Corporation and Caterpillar Financial Commercial Account Corporation please answer this short questionnaire that will assist us in providing you the most complete and quickest response to all of your financing needs.

1. Please complete and return the cover letter questionnaire (see below)
2. Please complete and return the attached credit application
3. All applicants and individuals MUST sign and complete the attached credit application

Cover Letter Questionnaire

Purpose of Financing Request (*Please select from the following*):

_____ I am interested in buying a machine from a Caterpillar Dealership.

_____ I am purchasing a machine from an Auction.

_____ I am interested in financing parts, services, or attachments.

_____ Other: (Please Specify)

Please describe your purchase:

Description of Equipment:

Equipment Price:

Other (Please Specify):

I can be contacted at the following:

Name: _____

Phone: _____

Email: _____

Please return the cover letter and credit application to Cat Financial by Mail, Fax, or Email:

Mail:
Caterpillar Financial Services Corporation
2120 West End Avenue
Nashville, TN 37203
Attn: Commercial Account Credit Dept

Fax: 615-341-5925

Email: CommercialAccount.CreditDepartment@cat.com

If you have any questions, feel free to call our Credit Department at 615-341-1726.

Credit Application Must Be Signed before you return the cover letter and credit application

Thank you for your Business!



CREDIT APPLICATION

Caterpillar Financial Services Corporation
Caterpillar Financial Commercial Account Corporation

Application for credit with: (Please check appropriate box or boxes)

Dealer _____

- Caterpillar Financial Services Corporation
- Caterpillar Financial Commercial Account Corporation

Territory Manager _____ Existing Customer

* = REQUIRED FIELD

APPLICATION INFORMATION

FULL LEGAL NAME AND SOCIAL SECURITY NUMBER OF INDIVIDUAL APPLICANT (SOLE PROPRIETORSHIP) OR PARTNERS

*FIRST NAME: _____ M.I.: _____ *LAST NAME: _____ *SOCIAL SECURITY NUMBER: _____
 *FIRST NAME: _____ M.I.: _____ *LAST NAME: _____ *SOCIAL SECURITY NUMBER: _____
 *FIRST NAME: _____ M.I.: _____ *LAST NAME: _____ *SOCIAL SECURITY NUMBER: _____
 *TRADE/DBA NAME: _____

FULL NAME OF ORGANIZED LEGAL ENTITY (CHARTERED CORPORATION, LIMITED LIABILITY COMPANY, PARTNERSHIP, MUNICIPALITY OR TRUST)

*EXACT BUSINESS NAME: _____ *FEDERAL ID NUMBER: _____
 *STATE FORMED: _____ *TYPE OF BUSINESS (CHECK ONE) CORPORATION LIMITED LIABILITY CO GENERAL PARTNERSHIP LIMITED PARTNERSHIP
 MUNICIPALITY TRUST OTHER _____

If applying as an LLC a copy of the Operating Agreement & Articles of Organization is required. If applying as a Partnership or Trust a copy of the Partnership or Trust Agreement is required.

*PHYSICAL ADDRESS: _____ *CITY: _____ *STATE: _____ *ZIP: _____
 *BILLING ADDRESS: _____ *CITY: _____ *STATE: _____ *ZIP: _____
 *BUSINESS PHONE: _____ BUSINESS FAX: _____
 *PRIMARY CONTACT NAME: _____ *PRIMARY CONTACT TITLE: _____
 PRIMARY CONTACT MOBILE PHONE: _____ *PRIMARY CONTACT E-MAIL: _____
 DOES THE APPLICANT HAVE ANY UNSATISFIED LIENS OR JUDGEMENTS: YES NO IF YES, PLEASE SPECIFY: _____
 *HAS APPLICANT FILED BANKRUPTCY: YES NO IF YES PLEASE SPECIFY: _____
 *BUSINESS DESCRIPTION: _____ *START DATE: _____ *TIME AS CURRENT OWNER: _____
 NUMBER OF EMPLOYEES: _____ ANNUAL GROSS SALES: \$ _____
 NUMBER OF PIECES OF EQUIPMENT IN FLEET: _____ SALES TAX EXEMPT: YES NO IF YES ATTACH CERTIFICATE
 BONDING COMPANY: _____ CONTACT: _____ PHONE: _____
 INSURANCE COMPANY: _____ CONTACT: _____ PHONE: _____
Cat Insurance offers physical damage coverage. Please ask your Cat Dealer or contact us at 1-800-248-4338.

OWNER/PRINCIPAL/GUARANTOR

OWNER/PRINCIPAL/GUARANTOR #1 (To be completed by sole proprietors, partners or primary officers of organized legal entity applying for credit) Attach additional sheet(s) if necessary.

*NAME: _____ *ADDRESS: _____ *CITY: _____ *STATE: _____ *ZIP: _____
 *BIRTH DATE: _____ *HOME PHONE: _____ *SSN: _____ *PERCENT OF OWNERSHIP: _____
 *NET WORTH: _____ *ANNUAL INCOME: _____ *MONTHLY HOUSING PAYMENT: _____
 *PERSONAL BANKRUPTCY: YES NO IF YES, DATE FILED & EXPLANATION _____

OWNER/PRINCIPAL/GUARANTOR #2 (To be completed by sole proprietors, partners or primary officers of organized legal entity applying for credit)

*NAME: _____ *ADDRESS: _____ *CITY: _____ *STATE: _____ *ZIP: _____
 *BIRTH DATE: _____ *HOME PHONE: _____ *SSN: _____ *PERCENT OF OWNERSHIP: _____
 *NET WORTH: _____ *ANNUAL INCOME: _____ *MONTHLY HOUSING PAYMENT: _____
 *PERSONAL BANKRUPTCY: YES NO IF YES, DATE FILED & EXPLANATION: _____

BANK/FINANCE/TRADE REFERENCES

*NAME OF PRIMARY BANK: _____ *CONTACT NAME: _____ PHONE #: _____
 *CUSTOMER SINCE: _____ *CHECKING ACCOUNT#: _____ *AVERAGE BALANCE: \$ _____
 *SAVINGS ACCOUNT#: _____ *AVERAGE BALANCE: \$ _____ *BANK LOANS: YES NO
 *TYPE OF LOANS: _____ *LOAN ACCOUNT NUMBERS: _____ *TOTAL CURRENT BALANCE: \$ _____

EQUIPMENT FINANCE COMPANY #1: _____
 CONTACT NAME & PHONE #: _____ HIGHEST CREDIT EXTENDED: \$ _____
 EQUIPMENT FINANCE COMPANY #2: _____
 CONTACT NAME & PHONE #: _____ HIGHEST CREDIT EXTENDED: \$ _____

TRADE REF.: _____ CONTACT NAME & PHONE #: _____ HIGHEST CREDIT EXTENDED: \$ _____
 TRADE REF.: _____ CONTACT NAME & PHONE #: _____ HIGHEST CREDIT EXTENDED: \$ _____

TO BE COMPLETED BY CATERPILLAR FINANCIAL COMMERCIAL ACCOUNT APPLICANTS ONLY

CATERPILLAR FINANCIAL COMMERCIAL ACCOUNT APPLICANTS MUST PROVIDE THE NAME OF AT LEAST ONE BUT NO MORE THAN THREE INDIVIDUALS THAT ARE FULLY AUTHORIZED TO USE THE ACCOUNT ON BEHALF OF THE APPLICANT AND SPECIFY THE AMOUNT OF CREDIT BEING REQUESTED.

*NAME: _____ *NAME: _____ *NAME: _____

*REQUESTED CREDIT LIMIT: \$ _____

PLEASE PROVIDE THE NAME AND E-MAIL ADDRESS OF THE INDIVIDUAL (S) THAT WOULD EXECUTE (SIGN) ANY FINANCING DOCUMENTS ASSOCIATED WITH THIS APPLICATION FOR CREDIT

*NAME: _____ *E-MAIL: _____

*NAME: _____ *E-MAIL: _____

The applicant identified below ("Applicant") agrees that this completed credit application and all the information contained herein are deemed to have been provided to Caterpillar Financial Services Corporation including Caterpillar Financial Commercial Account Corporation ("CFCAC"). CFSC and CFCAC may use such information in evaluating this credit application. Collectively, CFSC and CFCAC are referred to herein as the "Cat Financial Companies". Collectively, the Cat Financial Companies, Caterpillar Inc. and their affiliates and subsidiaries are referred to herein as the "Caterpillar Companies."

By applying for credit with a Cat Financial Company, Applicant agrees that such Cat Financial Company may investigate or rely on the financial information of Applicant's principal(s), owner(s), partner(s) or officer(s) (each a "Signatory") in making its credit determination. If Applicant is a legal entity (i.e., corporation, limited liability company or limited liability partnership), an authorized person must sign below on behalf of the Applicant in addition to each principal and owner listed in this credit application. If Applicant is a partnership or a sole proprietorship each principal, owner or partner must sign below. By signing below, each Signatory authorizes the Cat Financial Company to obtain such Signatory's personal credit information that it may deem appropriate to assess this credit application, including but not limited to consumer reports and credit histories from any source.

Privacy Notice: By providing information to the Cat Financial Companies, the Applicant and each Signatory is agreeing that the information may be shared among the Caterpillar Companies and their partners and sellers of Cat products (each a "Dealer") including but not limited, for the purpose of improving or marketing Cat products and services. In addition, the information may be used for any purpose that Applicant or any Signatory may agree to in the future.

Applicant understands and agrees that the credit granted by any of the Cat Financial Companies to Applicant shall be governed by the provisions and conditions set forth in the applicable agreements between Applicant and the applicable Cat Financial Company, including but not limited to, CFSC's financing documents and CFCAC's Customer Agreement. "Customer Agreement" refers to the agreement by such title (or any such similar title) that CFCAC requires the Applicant to execute or otherwise assent to being bound thereby.

Applicant and each Signatory further agrees that the Cat Financial Companies may obtain from each other and the other Caterpillar Companies, Dealers, banks, consumer reporting agencies, financial institutions, merchants, customers or any other person or entity any personal or business information the Cat Financial Companies may deem appropriate related to such Applicant and each Signatory. The Applicant and each Signatory further authorizes and instructs each such person or entity to furnish, share or otherwise make accessible to the Cat Financial Companies any information in their possession with respect to such Applicant or each Signatory. The Cat Financial Companies may use such information (a) to make a credit decision to extend credit now or in the future pursuant to a subsequent application or request, (b) to continue any previously provided credit, (c) to review Applicant's account, (d) to assist in any collection activity, and (e) to share such information with any other person or entity, including but not limited to the Caterpillar Companies, Dealers, consumer reporting agencies, financial institutions, and merchants.

This application for credit from any of the Cat Financial Companies is distinct and separate from the application for credit made to any Dealer or any other third party. Applicant further understands that any decision to extend or deny credit hereunder shall be made solely by the applicable Cat Financial Company. A decision to grant or deny business credit by CFSC will be made by CFSC, and a decision to grant or deny credit by CFCAC will be made by CFCAC. Applicant also acknowledges, agrees and understands that any Cat Financial Company may, in its sole discretion, refuse to extend to Applicant business credit, goods, or services and may terminate such at any time. Any references to a requested amount of credit in this credit application shall not be deemed a limitation of liability by Applicant or any Signatory.

Applicant and each Signatory acknowledges that this credit application is for business customers only (including sole proprietorships) and any credit provided by the Cat Financial Companies in connection herewith is not to be used for the purchase of equipment or services for personal, household or family purposes.

Applicant and each Signatory agrees to and expressly acknowledges that Applicant and each Signatory has read and fully understands the terms and conditions contained in this credit application.

Applicant:

Print: _____ Date: _____
Applicant Name (Name of Individual or Legal Entity Applying for Credit)

Signature: _____ Printed Name of Authorized Party: _____ Title: _____
Authorized Party Signing Application

E-mail: _____ **Applicant Identity Verified**

Signatories: (To be completed by any owner(s), principal(s), partner(s) or officer(s) identified in the Owner/Principal/Guarantor Section of this Application)

Signature: _____ Printed name of Signatory: _____

Signature: _____ Printed name of Signatory: _____

Signature: _____ Printed name of Signatory: _____

NOTICE: If your application for business credit is denied, you have a right to a written statement of the specific reasons for the denial. To obtain the statement, please contact the applicable Cat Financial Company to whom you applied for credit at the address below within 60 days from the date you are notified of the decision. Such Cat Financial Company will send you a written statement of the reasons for the denial within 30 days from receiving your request. The Federal Equal Credit Opportunity Act prohibits creditors from discriminating against race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning these creditors is the FTC Regional Office for the region in which the Cat Financial Companies operate or the Federal Trade Commission, Equal Credit Opportunity, Washington, DC 20580.

**Caterpillar Financial Services Corporation, Attn: Corporate Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203
Caterpillar Financial Commercial Account Corporation, Attn: Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203**

(Must be completed and submitted along with the credit application for all Caterpillar Financial Commercial Account applicants that are proprietorships or partnerships or are corporations or LLC's that have been in business less than 2 years)

Personal Guaranty (Required of all corporations and LLCs in business less than 2 years, and all partnerships and proprietorships. Guarantor must be owner / sole proprietor, general partner or corporate officer)

If an Account is opened in response to the foregoing application, in consideration of the Cat Dealer, Caterpillar Financial Services Corporation, and/or Caterpillar Financial Commercial Account Corporation granting to Applicant the Account, the undersigned guarantor ("Guarantor") hereby unconditionally, absolutely and irrevocably guarantees the prompt and full payment and performance of all of Applicant's obligations under the agreement establishing the Account (the "Agreement"), and further agrees, in the event of any default under the Agreement, to pay the total balance due on the Account under demand, without requiring the Cat Dealer, Caterpillar Financial Services Corporation, and/or Caterpillar Financial Commercial Account Corporation or their respective assignees to make demand and/or proceed first to enforce the Agreement against Applicant. Guarantor waives presentment, demand, protest and notice of any kind, including but not limited to, notice of any modifications, amendments, or extensions of the Agreement, and of Applicant's nonperformance or breach of the Agreement. The payment obligations of Guarantor are the direct, primary, and continuing obligations of Guarantor and Guarantor's heirs, successors and assigns, and not merely a guaranty of collection. If there is more than one Guarantor, their obligations are joint and several.

By signing below, the Guarantor also agrees, individually and not on behalf of Applicant, that the Cat Dealer, Caterpillar Financial Services Corporation, and/or Caterpillar Financial Commercial Account Corporation or their respective designees may obtain credit reports on Guarantor from credit reporting agencies in connection with the application, continuation of the business credit provided herein, or pursuant to a subsequent application or request, reviewing Applicant's account, and assisting in taking collection activity, and otherwise investigate the credit of Guarantor, and hereby instructs all credit reporting agencies to provide the Cat Dealer, Caterpillar Financial Services Corporation, and/or Caterpillar Financial Commercial Account Corporation with such credit reports upon request.

*By: _____ Name: _____ Date: _____

*By: _____ Name: _____ Date: _____

*By: _____ Name: _____ Date: _____